

**Report Identification Number: NY-19-133** 

Prepared by: New York City Regional Office

Issue Date: Jun 23, 2020

This report, prepared pursuant to section 20(5) of the Social Services Law (SSL), concerns:  A report made to the New York Statewide Central Register of Child Abuse and Maltreatment (SCR) involving the death of a child.
The death of a child for whom child protective services has an open case.
The death of a child whose care and custody or custody and guardianship has been transferred to an authorized agency.
The death of a child for whom the local department of social services has an open preventive service case.

The Office of Children and Family Services (OCFS) is mandated by section 20 of the SSL to investigate or cause for the investigation of the cause and circumstances surrounding the death, review such investigation, and prepare and issue a fatality report in regard to the categories of deaths noted above involving a child, except where a local or regional fatality review team issues a report, as authorized by law.

Such report must include: the cause of death; the identification of child protective or other services provided or actions taken regard to such child and child's family; any extraordinary or pertinent information concerning the circumstances of the child's death; whether the child or the child's family received assistance, care or services from the social services district prior to the child's death; any action or further investigation undertaken by OCFS or the social services district since the child's death; and as appropriate, recommendations for local or state administrative or policy changes.

This report contains no information that would identify the deceased child, his or her siblings, the parent, parents, or other persons legally responsible for the child, and any members of the deceased child's household.

By statute, this report will be forwarded to the social services district, chief county executive officer, chairperson of the local legislative body of the county where the child died and the social services district that had legal custody of the child, if different. Notice of the issuance of this report will be sent to the Speaker of the Assembly and the Temporary President of the Senate of the State of New York.

This report may <u>only</u> be disclosed to the public by OCFS pursuant to section 20(5) of the SSL. It may be released by OCFS only after OCFS has determined that such disclosure is not contrary to the best interests of the deceased child's siblings or other children in the household.

OCFS' review included an examination of actions taken by individual caseworkers and supervisors within the social services district and agencies under contract with the social services district. The observations and recommendations contained in this report reflect OCFS' assessment and the performance of these agencies.



# **Abbreviations**

	Relationships	
BM-Biological Mother	SM-Subject Mother	SC-Subject Child
BF-Biological Father	SF-Subject Father	OC-Other Child
MGM-Maternal Grand Mother	MGF-Maternal Grand Father	FF-Foster Father
PGM-Paternal Grand Mother	PGF-Paternal Grand Father	DCP-Day Care Provider
MGGM-Maternal Great Grand Mother	MGGF-Maternal Great Grand Father	PGGF-Paternal Great Grand Father
PGGM-Paternal Great Grand Mother	MA/MU-Maternal Aunt/Maternal Uncle	PA/PU-Paternal Aunt/Paternal Uncle
FM-Foster Mother	SS-Surviving Sibling	PS-Parent Sub
CH/CHN-Child/Children	OA-Other Adult	
	Contacts	
LE-Law Enforcement	CW-Case Worker	CP-Case Planner
DrDoctor	ME-Medical Examiner	EMS-Emergency Medical Services
DC-Day Care	FD-Fire Department	BM-Biological Mother
CPS-Child Protective Services		
	Allegations	
FX-Fractures	II-Internal Injuries	L/B/W-Lacerations/Bruises/Welts
S/D/S-Swelling/Dislocation/Sprains	C/T/S-Choking/Twisting/Shaking	B/S-Burns/Scalding
P/Nx-Poisoning/ Noxious Substance	XCP-Excessive Corporal Punishment	PD/AM-Parent's Drug Alcohol Misuse
CD/A-Child's Drug/Alcohol Use	LMC-Lack of Medical Care	EdN-Educational Neglect
EN-Emotional Neglect	SA-Sexual Abuse	M/FTTH-Malnutrition/Failure-to-thrive
IF/C/S-Inadequate Food/ Clothing/ Shelter	IG-Inadequate Guardianship	LS-Lack of Supervision
Ab-Abandonment	OTH/COI-Other	
	Miscellaneous	
IND-Indicated	UNF-Unfounded	SO-Sexual Offender
Sub-Substantiated	Unsub-Unsubstantiated	DV-Domestic Violence
LDSS-Local Department of Social	ACS-Administration for Children's	NYPD-New York City Police
Service	Services	Department
PPRS-Purchased Preventive Rehabilitative Services	TANF-Temporary Assistance to Needy Families	FC-Foster Care
MH-Mental Health	ER-Emergency Room	COS-Court Ordered Services
OP-Order of Protection	RAP-Risk Assessment Profile	FASP-Family Assessment Plan
FAR-Family Assessment Response	Hx-History	Tx-Treatment
CAC-Child Advocacy Center	PIP-Program Improvement Plan	yo- year(s) old
CPR-Cardiopulmonary Resuscitation	ASTO-Allowing Sex Abuse to Occur	



### **Case Information**

**Report Type:** Child Deceased **Jurisdiction:** Kings **Date of Death:** 12/22/2019

Age: 3 year(s) Gender: Female Initial Date OCFS Notified: 12/23/2019

#### **Presenting Information**

On 12/22/19, the SCR registered a report that alleged the three-year-old SC suffered from asthma which was exacerbated by the living conditions of her home. The report alleged the home contained mold, poor ventilation and that she was exposed to both marijuana and cigarette smoke. The report also stated on 12/19/19, the SC had gone with relatives to a party where she experienced difficulty breathing. When the parents returned home the parents gave her a breathing treatment and the SC went limp.

The report further alleged the parents called 911 and the SC was taken to a hospital where she passed away on 12/22/19 at 1:06 AM due to respiratory distress believed to be related to the poor living conditions and exposure to marijuana and cigarette smoke. The report added the four-year-old SS also suffered from the same illness and was exposed to the same living conditions. The report also alleged there was clutter in the home that was a safety hazard for the four, six and eight-year-old SS.

### **Executive Summary**

On 12/22/19, the SCR registered a subsequent report that alleged DOA/Fatality, I/F/C/S, LMC, PD/AM and IG of the three-year-old SC. According to ACS documentation, the SM, SF, and four children had resided in an abandoned building with vermin, mold, exposed wiring, and garbage. The SM and SS now reside in the home of the MGGM until the SM can find suitable housing. The SC and siblings had returned from a party with her MA when she fell ill. The SM treated the SC with prescribed medication but the SC's condition did not improve and 911 was called. The FDNY personnel arrived and transported the SC, SM and maternal cousin to the hospital she died at 1:06 AM.

On the same date, ACS took emergency action and the three SSs were removed and placed in the home of the MGGM. The SSs were removed due to the unsafe living conditions.

On 12/31/19, ACS filed an Article 10 Petition of Neglect against the parents and an Order of Protection (OOP)against the SF. The SSs were released to the SM with ACS supervision and the OOP was granted.

On 01/22/2020, ACS contacted the ME's office and was told the SC's manner of death was natural and the cause of death was acute bronchial asthma.

Between 12/21/19 and 2/20/2020, ACS obtained information from collateral sources, medical providers, schools, and monitored the SM and SSs. In addition, ACS made referrals for services for the parents and the SSs.

ACS documented the SSs were doing well in the home of the MGGM.

There is no case documentation after 2/21/2020, this case has not yet been determined.

### Findings Related to the CPS Investigation of the Fatality

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### **Safety Assessment:**

- Was sufficient information gathered to make the decision recorded on the:
  - Approved Initial Safety Assessment?

Yes

Safety assessment due at the time of determination?

Yes

• Was the safety decision on the approved Initial Safety Assessment appropriate?

Yes

### **Determination:**

• Was sufficient information gathered to make determination(s) for all allegations as well as any others identified in the course of the investigation?

Yes, sufficient information was gathered to determine all allegations.

• Was the determination made by the district to unfound or indicate appropriate?

N/A

### **Explain:**

ACS initiated the investigation timely and took the appropriate action to secure the safety of the SS.

Was the decision to close the case appropriate?

N/A

Was casework activity commensurate with appropriate and relevant statutory Yes

or regulatory requirements?

Was there sufficient documentation of supervisory consultation?

Yes, the case record has detail of the

consultation.

### **Explain:**

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### **Required Actions Related to the Fatality**

Are there Required Actions related to the compliance issue(s)? 

Yes 

No

Issue:	Overall Completeness and Adequacy of Investigations
Summary:	ACS documentation ended on 2/21/2020, four months ago, without explanation. There has been no documented contact with the parents of the SS.
Legal Reference:	SSL 424.6 and 18 NYCRR 432.2(b)(3)
Action:	ACS must submit a performance improvement plan within 45 days that identifies what action it has, or will take, to address the citation(s) identified in the fatality report. ACS must meet with the staff involved with this fatality investigation and inform NYCRO of the date of the meeting, who will attend and what was discussed.

### **Fatality-Related Information and Investigative Activities**

### **Incident Information**



Date of Death: 12/22/2019 Time of Death: 01:06 AM

County where fatality incide	nt occurred:	Kings
Was 911 or local emergency	number called?	Yes
Time of Call:		11:25 PM
Did EMS respond to the scer	ne?	Yes
At time of incident leading to	death, had child used alcohol or drugs?	No
Child's activity at time of inc	eident:	
☐ Sleeping	Working	Driving / Vehicle occupant
☐ Playing	☐ Eating	Unknown
Other: Asthma attack		
Did child have supervision a	t time of incident leading to death? Yes	

**Total number of deaths at incident event:** 

At time of incident supervisor was: Unknown if they were impaired.

Children ages 0-18: 01

Adults: 00

### **Household Composition at time of Fatality**

Household	Relationship	Role	Gender	Age
Deceased Child's Household	Deceased Child	Alleged Victim	Female	3 Year(s)
Deceased Child's Household	Father	Alleged Perpetrator	Male	26 Year(s)
Deceased Child's Household	Mother	Alleged Perpetrator	Female	27 Year(s)
Deceased Child's Household	Sibling	Alleged Victim	Male	06 Year(s)
Deceased Child's Household	Sibling	Alleged Victim	Female	04 Year(s)
Deceased Child's Household	Sibling	Alleged Victim	Female	08 Year(s)

### LDSS Response

On 12/22/2019, the SCR registered a subsequent report that alleged DOA/Fatality, I/F/C/S, LMC, PD/AM and IG of the three-year-old SC. The SM and SS had already been temporarily staying with the PGM due to the unsafe living conditions of the case address.

On the same date, ACS initiated the investigation and interviewed the parents and the SS at the home of the PGM. The SF stated that he had lived in the abandoned building for six years but the SM and children resided there for a year. The BF stated he and the SM had sought housing assistance but were denied. The SF stated that on 12/21/19, when the SC and the SS returned from a party with their MA and the SC had difficulty breathing, the SM administered a treatment from a prescription asthma pump. The SC was then placed on the bed. The SC began turning blue and 911 was called. The father began performing CPR on the SC until EMS arrived and transported the SC to the hospital. The SF admitted he used marijuana but said he always smoked outside of the home.

The SM was interviewed privately and her account was consistent with the SF's. She stated she also used marijuana. The two older SS were interviewed and the youngest SS observed. ACS documented there were no indications of neglect for NY-19-133

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any of the SS.

On 12/23/19, ACS interviewed the maternal cousin (MC) who took the SC to the party on 12/21/19 and returned home between 6:30 and 7:00 PM and informed the SM the SC was "wheezing" and the SM administered asthma medication. The SC felt better for a while, then she had breathing difficulties again and another dosage of medication was administered by the SM. The SC felt better. The MC was awakened by the noise in the home and was then told the SM had to call 911. The FDNY arrived and transported the SC, MC, and the SM to the hospital.

Between 12/24/2019 and 2/20/2020, ACS obtained information from collateral sources such as medical providers, schools, and family members, and monitored the SM and SS. In addition, ACS made referrals for services for the parents and the SS. ACS documented the SS were doing well in the home of the MGGM who had a larger home than the PGM.

On 12/31/19, ACS filed an Article 10 Petition of Neglect against the parents and an Order of Protection (OOP)against the SF. There is no documentation that explained why the SF was only allowed supervised visits with the SSs. The SSs were released to the SM with ACS supervision and the order of protection was granted.

On 01/22/2020, ACS contacted the ME's office and was told the SC's manner of death was natural and the cause of death was acute bronchial asthma.

As of the issuance of this report, there were no entries documented after 2/21/2020, this case has not yet been determined.

#### Official Manner and Cause of Death

Official Manner: Natural

**Primary Cause of Death:** From a medical cause

Person Declaring Official Manner and Cause of Death: Medical Examiner

#### Multidisciplinary Investigation/Review

Was the fatality investigation conducted by a Multidisciplinary Team (MDT)?Yes

**Comments:** According to ACS's documentation, the SS were interviewed at the CAC on 01/9/2020.

Was the fatality reviewed by an OCFS approved Child Fatality Review Team? No

**Comments:** There is no OCFS approved CFRT in the New York City region.

### **SCR Fatality Report Summary**

Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome
053861 - Deceased Child, Female, 3 Yrs	053862 - Mother, Female, 27 Year(s)	DOA / Fatality	Unsubstantiated
053861 - Deceased Child, Female, 3 Yrs	053862 - Mother, Female, 27 Year(s)	Inadequate Food / Clothing / Shelter	Substantiated
053861 - Deceased Child, Female, 3 Yrs	053862 - Mother, Female, 27 Year(s)	Inadequate Guardianship	Substantiated
053861 - Deceased Child, Female, 3 Yrs	053863 - Father, Male, 26 Year(s)	DOA / Fatality	Unsubstantiated



053861 - Deceased Child, Female, 3 Yrs	053863 - Father, Male, 26 Year(s)	Inadequate Food / Clothing / Shelter	Substantiated
053861 - Deceased Child, Female, 3 Yrs	053863 - Father, Male, 26 Year(s)	Inadequate Guardianship	Substantiated
053864 - Sibling, Female, 04 Year(s)	053862 - Mother, Female, 27 Year(s)	Inadequate Food / Clothing / Shelter	Substantiated
053864 - Sibling, Female, 04 Year(s)	053862 - Mother, Female, 27 Year(s)	Inadequate Guardianship	Substantiated
053864 - Sibling, Female, 04 Year(s)	053863 - Father, Male, 26 Year(s)	Inadequate Food / Clothing / Shelter	Substantiated
053864 - Sibling, Female, 04 Year(s)	053863 - Father, Male, 26 Year(s)	Inadequate Guardianship	Substantiated
053865 - Sibling, Male, 06 Year(s)	053862 - Mother, Female, 27 Year(s)	Inadequate Food / Clothing / Shelter	Substantiated
053865 - Sibling, Male, 06 Year(s)	053862 - Mother, Female, 27 Year(s)	Inadequate Guardianship	Substantiated
053865 - Sibling, Male, 06 Year(s)	053863 - Father, Male, 26 Year(s)	Inadequate Food / Clothing / Shelter	Substantiated
053865 - Sibling, Male, 06 Year(s)	053863 - Father, Male, 26 Year(s)	Inadequate Guardianship	Substantiated

### **CPS Fatality Casework/Investigative Activities**

	Yes	No	N/A	Unable to
	103	110	11//1	Determine
All children observed?	$\boxtimes$			
When appropriate, children were interviewed?	$\boxtimes$			
Alleged subject(s) interviewed face-to-face?	$\boxtimes$			
All 'other persons named' interviewed face-to-face?	$\boxtimes$			
Contact with source?	$\boxtimes$			
All appropriate Collaterals contacted?	$\boxtimes$			
Was a death-scene investigation performed?			$\boxtimes$	
Was there discussion with all parties (youth, other household members, and staff) who were present that day (if nonverbal, observation and comments in case notes)?				
Coordination of investigation with law enforcement?	$\boxtimes$			
Was there timely entry of progress notes and other required documentation?	$\boxtimes$			

### **Fatality Safety Assessment Activities**

	Yes	No	N/A	Unable to Determine
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Were there any surviving siblings or other children in the household?	$\boxtimes$			
Was there an adequate assessment of impending or immediate danger to surviving siblings/other children in the household named in the report:				
Within 24 hours?	$\boxtimes$			
At 7 days?	$\boxtimes$			
At 30 days?	$\boxtimes$			
Was there an approved Initial Safety Assessment for all surviving siblings/ other children in the household within 24 hours?		$\boxtimes$		
Are there any safety issues that need to be referred back to the local district?				
When safety factors were present that placed the surviving siblings/other children in the household in impending or immediate danger of serious harm, were the safety interventions, including parent/caretaker actions adequate?	$\boxtimes$			
Fatality Risk Assessment / Risk Assessment I	Profile			
	Yes	No	N/A	Unable to Determine
Was the risk assessment/RAP adequate in this case?	$\boxtimes$			
During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the household?				
Was there an adequate assessment of the family's need for services?	$\boxtimes$			
Did the protective factors in this case require the LDSS to file a petition in Family Court at any time during or after the investigation?				
Were appropriate/needed services offered in this case				
<b>Explain:</b> The parents and SS are engaged in Family Court mandated PPRS and other ser	vices.			

	Yes	No	N/A	Unable to Determine
Did the safety factors in the case show the need for the surviving siblings/other children in the household be removed or placed in foster care at any time during this fatality investigation?				
Were there surviving children in the household that were removed either as a result of this fatality report / investigation or for reasons unrelated to this fatality?				

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### Explain as necessary:

An Article 10 Neglect petition was filed and the children were to remain with the BM with court ordered supervision and services.

Legal Activity Related to the Fatality					
<b>Was there leg</b> : ⊠Family Cou	al activity as a result of the fatality investigation? rt	Order of Protection			
Family Cour	t Petition Type: FCA Article 10 - CPS				
Date Filed:	Fact Finding Description:	<b>Disposition Description:</b>			
12/26/2019	There was not a fact finding	Order of Supervision			
Respondent:	pondent: 053862 Mother Female 27 Year(s)				
Comments:	Kings County Family Court ordered court ordered sup the family was ordered. The SS were allowed to remain agency supervised visits with the SS.				

Have	any O	rders of	Protection	1 been	issued?	Yes
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From: 12/26/2019 | To: 12/26/2020

### **Explain:**

On 12/26/19, Kings County Family Court granted a full stay away order against the BF. The order allowed the SF to only have agency supervised visits with the SS and no contact with the SM. There was no explanation in the case documentation that explained why the SF was only allowed supervised visits.

### Services Provided to the Family in Response to the Fatality

Services	Provided After Death	Offered, but Refused	Offered, Unknown if Used	Not Offered	Needed but Unavailable	N/A	CDR Lead to Referral
Bereavement counseling							
<b>Economic support</b>							
Funeral arrangements							
Housing assistance	$\boxtimes$						
Mental health services							
Foster care							
Health care	$\boxtimes$						
Legal services							
Family planning							
Homemaking Services							

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Parenting Skills					
<b>Domestic Violence Services</b>				$\boxtimes$	
Early Intervention	$\boxtimes$				
Alcohol/Substance abuse	$\boxtimes$				
Child Care				$\boxtimes$	
Intensive case management	$\boxtimes$				
Family or others as safety resources				$\boxtimes$	
Other					

### Additional information, if necessary:

The BM, BF and SS were referred for PPRS services that included bereavement and other counseling services. The parents were referred for drug counseling for their chronic marijuana misuse. The BM was also provided with referrals and documentation for housing assistance in addition to other services.

Were services provided to siblings or other children in the household to address any immediate needs and support their well-being in response to the fatality? Unable to Determine

### **Explain:**

ACS referred the SS for services but there is no documentation they have engaged any service.

Were services provided to parent(s) and other care givers to address any immediate needs related to the fatality? Yes

### **Explain:**

The SM has engaged in services, however, the SF initially agreed to services then refused contact with ACS after initial interviews following the SC's death.

### **History Prior to the Fatality**

Child Information		
Did the child have a history of alleged child abuse/maltreatment?	Yes	
Was the child ever placed outside of the home prior to the death?	No	
Were there any siblings ever placed outside of the home prior to this child's death?	No	
Was the child acutely ill during the two weeks before death?	No	

## **CPS - Investigative History Three Years Prior to the Fatality**

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome	Compliance Issue(s)
12/22/2019	Deceased Child, Female, 3 Years	Mother, Female, 26 Years	Inadequate Food / Clothing / Shelter	Substantiated	No
	Deceased Child, Female, 3 Years	Mother, Female, 26 Years	Inadequate Guardianship	Substantiated	

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Deceased Child, Female, 3 Years	Mother, Female, 26 Years	Lack of Medical Care	Substantiated
Deceased Child, Female, 3 Years	Mother, Female, 26 Years	Parents Drug / Alcohol Misuse	Substantiated
Deceased Child, Female, 3 Years	Father, Male, 25 Years	Inadequate Food / Clothing / Shelter	Substantiated
Deceased Child, Female, 3 Years	Father, Male, 25 Years	Inadequate Guardianship	Substantiated
Deceased Child, Female, 3 Years	Father, Male, 25 Years	Lack of Medical Care	Substantiated
Deceased Child, Female, 3 Years	Father, Male, 25 Years	Parents Drug / Alcohol Misuse	Substantiated
Sibling, Female, 8 Years	Mother, Female, 26 Years	Inadequate Food / Clothing / Shelter	Substantiated
Sibling, Female, 8 Years	Father, Male, 25 Years	Inadequate Food / Clothing / Shelter	Substantiated

### Report Summary:

On 12/22/19, the SCR registered two reports that alleged the SC had a history of asthma and an unknown home member smoked marijuana and cigarettes in the home which reportedly exacerbated the SC's condition. The allegations of the report were LMC, I/F/C/S, PD/AM, and IG of the SC and siblings.

The investigation revealed the SC was not feeling well and the SM gave her an asthma treatment and sent the SC and siblings to a party with the MA. Upon returning home the SC fell ill and was given another treatment. The SC still did not feel well and 911 was called and the SC was transported to the hospital.

Report Determination: Indicated Date of Determination: 02/20/2020

### **Basis for Determination:**

ACS determined there was credible evidence to support the allegations based on the family's living condition, both parents admitted to marijuana and cigarette use in the home despite the SC and SS having a medical condition where any type of smoking would be detrimental to their health.

### **OCFS Review Results:**

There was credible evidence to support the allegations.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
04/30/2018	Sibling, Female, 8 Years	Father, Male, 26 Years	Educational Neglect	Far-Closed	Yes
	Sibling, Female, 8 Years	Father, Male, 26 Years	Inadequate Guardianship	Far-Closed	
	Sibling, Female, 8 Years	Father, Male, 26 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	Sibling, Female, 8 Years	Mother, Female, 27 Years	Educational Neglect	Far-Closed	
	•	Mother, Female, 27 Years	Inadequate Guardianship	Far-Closed	

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1					1
	Sibling, Female, 8 Years	Mother, Female, 27 Years	Parents Drug / Alcohol Misuse	Far-Closed	
Report S	•				
for family	engagement to work wi	th the parents to resolve	IG was registered with the SCR the concerns. Although ACS we ent around the parents drug use.	orked with the par	ents and
l l			thing smelling of marijuana.		1
OCFS Re	eview Results:				
l l	-		have been transferred to the inveniouse which was so severe the	_	
	e Required Actions rela	ted to the compliance i	ssue(s)? XYes No		
Issue:					
	roper Case Closure				
Summary	•				
1		en closed. The case show	ald have been transferred to the i	investigative track	because of
			reportedly smelled of marijuana		
l l	case documentation this	_			
Legal Re	ference:				
18 NYCR	RR 432.13 (e)(3)				
Action:					
address th	ne citation(s) identified in	the fatality report. ACS	45 days that identifies what act must meet with the staff involving, who will attend and what was	ed with this fatalit	
	CPC I			. 1.	
	CPS-1	nvestigative History More	Than Three Years Prior to the Fa	tality	
There is no	o CPS history more than	<u> </u>	·		
		Known CPS Hi	story Outside of NYS		
There is no	o CPS history outside of	NYS.			
		I agal History Within Th	ree Years Prior to the Fatality		
		Legal History Within Th	ifee Tears From to the Fatanty		
Was there	e any legal activity with	nin three years prior to	the fatality investigation? The	re was no legal ac	tivity
		Recommo	ended Action(s)		
		- Aveomin	(0)		
Are there	any recommended acti	ions for local or state a	dministrative or policy change	s? □Yes ⊠No	

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Are there any recommended prevention activities resulting from the review?  $\square Yes \boxtimes No$